

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 04776.81656)

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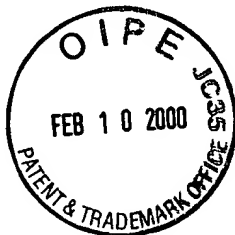
In the Application of:

Ira A. Gerson

Serial No.: 09/412,202

Filed: October 5, 1999

For: METHOD AND APPARATUS FOR PROCESSING AN  
INPUT SPEECH SIGNAL DURING PRESENTATION  
OF AN OUTPUT AUDIO SIGNAL



Before the Examiner:

Group Art Unit: 2747

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97-1.98, applicant wishes to make the following references of record in the above-identified application. These references may be material to the patentability of the presently pending claims. A copy of the references cited below are enclosed along with a completed PTO Form-1449.

**U.S. Patents**

1. Hartwell et al, U.S. Patent No. 4,914,692, issued April 3, 1990.
2. Johnson et al, U.S. Patent No. 5,155,760, issued October 13, 1992.
3. Schalk et al, U.S. Patent No. 5,475,791, issued December 12, 1995.
4. Miner et al., U.S. Patent No. 5,652,789, issued July 29, 1997.
5. Fisher, U.S. Patent No. 5,708,704, issued January 13, 1998.
6. Peterson et al., U.S. Patent No. 5,758,317, issued May 26, 1998.

7. Nguyen, U.S. Patent No. 5,765,130, issued June 9, 1998.

**Other Documents**

1. "The Aurora Project"; Foundation for Intelligent Physical Agents ("FIPA"); Chris Ellis; <http://drogo.cse.stet.it/fipa/yorktown/nyws029.htm>.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that the documents cited be given thorough consideration and that they be cited of record in the prosecution history of the present application by initialing by the Examiner on Form PTO-1449, so that they will appear on the face of the patent issuing on the present application.

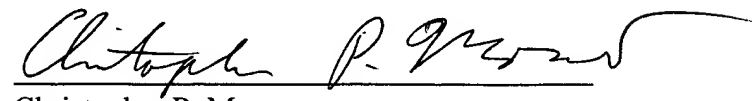
The present disclosure Statement is being submitted in compliance with 37 CFR 1.56 as an Examiner might consider the cited documents important in deciding whether to allow the application to issue as a patent, but the citation of such documents is not to be construed as an admission that such documents are necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b), and in the course of such search, will review for relevance the documents cited on the attached form even if not initialed.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,  
BANNER & WITCOFF, LTD.

Dated: February 8, 2000

By:

  
Christopher P. Moreno  
Reg. No. 38,566

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(Attorney Docket No. 04776.81656 )

In re Application of:

Ira A. Gerson

Serial No.: 09/412,202

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For: METHOD AND APPARATUS FOR PROCESSING AN INPUT  
SPEECH SIGNAL DURING PRESENTATION OF AN OUTPUT  
AUDIO SIGNAL



Group Art Unit: 2747

Examiner:

Asst. Commissioner for Patents  
Washington, D.C. 20231

Sir:

**TRANSMITTAL LETTER**

In regard to the above identified application:

1. We are transmitting herewith the attached Information Disclosure Statement (2 sheets) with cited references; PTO Form 1449 (1 sheet); Transmittal Letter and Return Receipt Postcard
2. With respect to additional fees:  
  
    ☒ A. No additional fee is required.  
  
    \_\_\_\_\_ B. Attached is a check in the amount of \$\_\_\_\_\_.  
  
3. Please charge any additional fees or credit overpayment to Deposit Account No. 01-0850. A duplicate copy of this sheet is enclosed.  
  
4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231 on this 8th day of February, 2000.

By Christopher P. Moreno  
Christopher P. Moreno  
Reg. No.: 38,566